

## Corporate profile

OpenFunds Investment Services ('OpenFunds') is an independent Swiss company, licensed and supervised by the Swiss Financial Market Authority (FINMA). We operate within the framework of the Swiss Collective Investment Schemes Act (CISA) and offer two services for funds catering to qualified investors: Swiss legal representative services and Distribution services for selected funds.

### Swiss legal representative services

OpenFunds only partners with selected funds that have undergone strict due diligence – covering legal, operational and investment criteria – and that cater to qualified and non-qualified investors. We are careful about which funds we represent, and we maintain a strict focus on the delivery of high quality services to our existing client base.

Specific services include:

- Fund representation for qualified and non-qualified investors operating under the FINMA regulatory regime.
- Facilitating a paying agent service, in line with current regulations.
- Arranging and assessing distribution services, in accordance with current regulations.

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### Distribution services for selected funds

The principals of OpenFunds have many decades of experience in distributing selected funds to their network of qualified and non-qualified investors, located predominantly in Switzerland and the Middle East.

- We focus chiefly on alternative, non-market based investment products.
- We spend considerable time with the management of a company before entering into a distribution agreement to understand the strategy, investment process and risks for each fund, as well as the calibre of the management team.
- We require complete access to senior management at all times, for the funds we distribute.

### What makes us unique

#### **We are the only Swiss legal representative that distributes funds to principal investors**

Daily exposure to principal investors gives us a unique insight into the ever-changing issues fund promoters must contend with.

#### **We have a mix of shareholders and team members who understand every aspect of fund management and distribution**

We are in a unique position in being able to offer both distribution and representative services.